

Which Heart Rate Variability (HRV) Indices Should I Use? A Data-driven Approach to Identifying Clusters of HRV Indices

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Abstract

Heart Rate Variability (HRV) can be quantified using a myriad of mathematical indices, but the lack of systematic and empirical comparison between these indices complicates the evaluation and interpretation of HRV data. This study assessed the reliability, consistency, and generalizability of the structural relationships among 89 HRV indices using a consensus-clustering approach. We analyzed 635 short-term resting-state electrocardiogram (ECG) recordings from two samples of college students with differing psychological profiles. Results from a sample with elevated internalizing symptoms (N=233)—collected across two sessions, one week apart—were compared to evaluate the test-retest reliability of the HRV clusters. To further assess the stability and generalizability of these HRV clusters beyond individuals with elevated internalizing symptoms, these results were compared with a second sample not selected based on psychological symptoms (N=203). We identified 19 clusters of 70 HRV indices with cross-method, test-retest, and cross-sample robustness. Based on the robust empirical convergence and the relative popularity of some HRV indices in the extant literature, we recommend 13 HRV indices for short-term recordings of resting-state HRV (under 10 minutes): RMSSD, SDNN, RSA (Porges-Bohrer or Peak-to-Trough method), RSA (Gates method), SD1/SD2 or CSI, ApEn or SampEn, HF or LnHF, DFA α_1 , DFA α_2 , one of the MDFA α_1 features, one of the MDFA α_2 features, one of the heart rate asymmetry indices, and one of the heart rate fragmentation indices. This approach mitigates the biases that can arise from redundant or highly correlated indices, facilitates clearer interpretation, and enhances the validity of conclusions drawn from HRV analyses.

Keywords: heart rate variability, electrocardiogram, resting, methodology, clustering, psychopathology,

Which HRV Indices Should I Use? A Data-driven Approach to Identifying Clusters of HRV Indices

Heart Rate Variability (HRV) is a measure that quantifies the variation in time intervals between consecutive heartbeats. In psychophysiological research, HRV serves as a multifaceted index for exploring the interactions between the autonomic nervous system (ANS) and other physiological systems—such as the central nervous system, the endocrine system, and the metabolic processes—that collectively contribute to the regulation of heart rate (Bigger, 1997; Pham et al., 2021; Quigley et al., 2024; Shaffer & Ginsberg, 2017; Task Force of the European Society of Cardiology and the North American Society of Pacing and Electrophysiology, 1996). The Neurovisceral Integration Model (Thayer & Lane, 2000) and Polyvagal Theory (Porges, 2009, 2011) propose that HRV abnormalities (e.g., significantly lower HRV at rest) reflect a diminished capacity for self-regulation, or the body's ability to regulate and adapt to internal and external environmental changes, which is associated with higher risks for mental and physical health issues (Austin et al., 2007; Porges, 2011). Correspondingly, reduced HRV at rest has been linked to a wide range of mental and physical health outcomes in the extant literature, including diabetes (Schroeder et al., 2005), cardiovascular diseases (Thayer et al., 2010), poor cognitive functioning (Forte et al., 2019; Holzman & Bridgett, 2017), emotional dysregulation (Fantini-Hauwel et al., 2020; Pinna & Edwards, 2020; Sloan et al., 2017), and a variety of mental disorders (e.g., posttraumatic stress disorder: Campbell et al., 2019; anxiety disorders: Chalmers et al., 2014; Cheng et al., 2022; schizophrenia: Clamor et al., 2016; depression: Kemp et al., 2010; Koch et al., 2019).

In the early days, HRV was quantified over a long period of recording (e.g., 24 hours) primarily as a risk assessment tool for heart disease (Bigger, 1997; Cripps et al., 1991; Kleiger et al., 1987). With advancements in digital signal processing techniques, new methodologies have been introduced to capture subtle beat-to-beat variations in shorter

recordings (e.g., ≤ 5 minutes; Pham et al., 2021; Shaffer & Ginsberg, 2017). The increased accessibility of HRV measurement methods has likely fueled the exponential increase in the popularity of HRV research in the past few decades, alongside the rapid development of new indices aimed at capturing the dynamic nature of HRV more effectively. For instance, the Task Force of the European Society of Cardiology and the North American Society of Pacing and Electrophysiology (Task Force, 1996) initially identified 15 indices and their derivatives (i.e., normalized or logarithmic forms). Within 25 years, more than 40 indices were commonly used in research (Pham et al., 2021; Shaffer & Ginsberg, 2017), with open-source software enabling users to compute over 100 different HRV indices (NeuroKit2; Makowski et al., 2021).

While the application of HRV indices in research has become increasingly popular, there is a limited empirical and conceptual understanding of the relationships among the indices. This knowledge gap, coupled with the proliferation of new indices, creates challenges for research progress, including issues with replicability, collinearity, and interpretability. The remainder of this introduction provides an overview of existing HRV indices, discusses problems arising from their proliferation, and outlines the present study, which aims to address these challenges by empirically examining the associations among these indices and identifying clusters of similar measures to streamline research efforts.

Overview of HRV Indices

Generally, the multitude of HRV indices is categorized based on the mathematical approach underpinning them, with categories conventionally named *time-domain*, *frequency-domain*, and *nonlinear-domain* (Quigley et al., 2024; Shaffer & Ginsberg, 2017; Task Force, 1996). In parallel, other metrics were developed to specifically capture the natural variation in heart rate during the breathing cycle, referred to as the *respiratory sinus arrhythmia (RSA)* indices (Task Force, 1996).

Time-domain indices (**Table 1**) are the most straightforward method of quantifying the variability of intervals between successive normal heartbeats (i.e., excluding abnormal beats such as ectopic beats), or otherwise often referred to as normal-to-normal intervals. Some commonly derived indices include the standard deviation of all normal-to-normal intervals (*SDNN*), the root mean square of the sum of successive differences of normal-to-normal intervals (*RMSSD*), and the percentage of adjacent normal-to-normal intervals separated by more than 50ms (*pNN50*). While time-domain methods offer ease of computation, they are not well-suited to distinguish between the contributions of different underlying regulatory systems (Rajendra Acharya et al., 2006).

Table 1

A summary of HRV time-domain indices.

Sub Domain	Index	Description
	MeanNN	Mean of normal-to-normal intervals
	MedianNN	Median of normal-to-normal intervals
	MinNN	Minimum normal-to-normal intervals
	MaxNN	Maximum normal-to-normal intervals
	MadNN	Median absolute deviation of the normal-to-normal intervals
	CVNN	Coefficient of variation of normal-to-normal intervals, calculated by dividing SDNN by MeanNN
	MCVNN	Coefficient of variation of normal-to-normal intervals, calculated by dividing MadNN by MedianNN
	IQRNN	Interquartile range of normal-to-normal intervals
Deviation-based approach	Prc20NN	20th percentile of the normal-to-normal intervals
	Prc80NN	80th percentile of the normal-to-normal intervals
	SDNN	Standard deviation of normal-to-normal intervals
	SDNN Index (SDNNI)	Mean of the standard deviations of normal-to-normal intervals
	SDRMSSD	SDNN divided by RMSSD
Difference-based approach	SDSD	Standard deviation of successive normal-to-normal intervals differences

	RMSSD	Root mean square of successive normal-to-normal intervals differences
	CVSD	Coefficient of variation of successive normal-to-normal intervals differences, calculated by dividing RMSSD by MeanNN
	pNN20	Proportion of successive normal-to-normal intervals differences larger than 20ms
	pNN50	Proportion of successive normal-to-normal intervals differences larger than 50ms
Geometric approach	HTI	HRV Triangular Index, derived from the dividing the integral of the density of the normal-to-normal intervals histogram by its height
	TINN	Triangular interpolation of normal-to-normal intervals, derived from the baseline width of the normal-to-normal intervals histogram

Frequency-domain indices (**Table 2**), on the other hand, target the assessment of the different regulatory mechanisms by separating the total variability into components according to the speed or frequency of changes. Specifically, some frequency-domain indices quantify variability of heart rate by estimating the absolute power, or the amount of signal energy, occurring within different frequency bands. For instance, the high frequency (*HF*) index is an estimation of power within the high frequency band, between 0.15 to 0.40 Hz, while low frequency (*LF*) is an estimation of power within the low frequency band, between 0.0033 to 0.04 Hz. Other frequency-domain indices quantify HRV by estimating the relative power, including the normalized forms of the absolute powers, such as normalized *LF* (*LFn*) and *HF* (*HFn*), or their natural logarithmic variants (e.g., *LnHF*), and their relative ratio (e.g., the ratio of *LF* to *HF* – *LF/HF* index).

Table 2

A summary of HRV frequency-domain indices.

Sub Domain	Index	Description
Absolute Power	ULF	Ultra-low frequency, power spectrum in the frequency range of <
		0.003 Hz

	VLF	Very low frequency, power spectrum in the frequency range of 0.0033-0.04 Hz
	LF	Low frequency, power spectrum in the frequency range of 0.04-0.15 Hz
	HF	High frequency, power spectrum in the frequency range of 0.15-0.4 Hz
	TP	Total Power, sum of power spectrum in all frequency bands
Normalized / Relative Power	LnHF	Natural logarithm of HF
	HF _n	Normalized HF, calculated by dividing HF by TP
	LF _n	Normalized LF, calculated by dividing LF by TP
	LF/HF	Ratio of LF to HF power

Drawn from concepts in the non-linear dynamics and chaos theory literature (Goldberger, 1996; Lau et al., 2022a; Makowski et al., 2022), *non-linear* indices (**Table 3**) were introduced to better characterize the complex physiological mechanisms underlying HRV. Early indices of this type included measures obtained from a Poincaré plot where all normal-to-normal intervals are plotted against the preceding intervals. An ellipse is subsequently fitted to circumvent all plotted points and is used to derive Poincaré plot non-linear indices such as *SD1* (ellipse's width) and *SD2* (ellipse's length) which represent the standard deviation of the short-term and long-term normal-to-normal interval variability respectively (Karmakar et al., 2009). Recently, more advanced methods have been introduced to quantify the predictability and regularity of the heart rate signal—two important properties of the complex heart rate variability system. Predictability describes the amount of correlation present in the evolution of the signals over time whereas regularity describes the general amount of repetitions of patterns in the signal (Lau et al., 2022b). Non-linear indices developed to quantify the predictability of heart rate primarily originate from the science of fractals, which is the study of self-similarity in complex patterns (Captur et al., 2017; Katz, 1988; Mandelbrot et al., 1997). Some of these indices include the Detrended Fluctuation Analysis (*DFA*), multi-fractal DFA (*MF DFA*), and the correlation dimension (*CD*). On the other hand, most of the common indices to quantify regularity belong to a family of statistics called entropy, which corresponds to the amount of uncertainty present in a system (Cover,

1999; Voss et al., 2008). Some of these indices include approximate entropy (ApEn), sample entropy (*SampEn*), and multiscale entropy (*MSE*). Other relatively newer non-linear methods include the heart rate fragmentation indices (Costa et al., 2017a) or the heart rate symmetry indices (Yan et al., 2017a).

Table 3

A summary of HRV non-linear indices.

Sub Domain	Index	Description
Poincaré Plot (Brennan et al., 2002; Denton et al., 1990)	SD1	Poincaré plot standard deviation perpendicular the line of identity, describing short-term variability of HR
	SD2	Poincaré plot standard deviation along the line of identity, describing short- and long-term variability of HR
	SD1/SD2	Ratio of SD1 to SD2
	S	Area of ellipse described by SD1 and SD2
	CSI	Cardiac Sympathetic Index (Toichi et al., 1997)
	CVI	Cardiac Vagal Index (Toichi et al., 1997)
	CSI_Modified	Modified CSI (Jeppesen et al., 2015)
Heart Rate Asymmetry (Piskorski & Guzik, 2011; Yan et al., 2017b)	GI	Guzik's Index, distance of points above line of identity (LI) to LI divided by that of all points in Poincaré plot to LI
	SI	Slope Index, phase angle of points above LI divided by that of all points in Poincaré plot
	AI	Area Index, cumulative area of the sectors of points above LI divided by that of all points in the Poincaré plot
	PI	Porta's Index, number of points below LI divided by the total number of points in Poincaré plot
	SD1a	Contributions of acceleration of HR (shortening of NNIs) to SD1
	SD1d	Contributions of deceleration of HR (prolongations of NNIs) to SD1
	SD2a	Contributions of acceleration to SD2
	SD2d	Contributions of deceleration to SD2
	SDNNa	Contributions of accelerations to SDNN
	SDNNd	Contributions of decelerations to SDNN
C1a	SD1a divided by SD1 (normalized)	
C1d	SD1d divided by SD1 (normalized)	
C2a	SD2a divided by SD2 (normalized)	

	C2d	SD2d divided by SD2 (normalized)
	Ca	Contribution of acceleration to total variability
	Cd	Contribution of deceleration to total variability
Heart Rate Fragmentation (Costa et al., 2017a)	PIP	Percentage of inflection points of NNIs
	IALS	Inverse of average lengths of acceleration segment divided by deceleration segment
	PSS	Percentage of short segments
	PAS	Percentage of NNIs in alternation segments
Entropy (Lau et al., 2022b)	ApEn	Approximate Entropy
	SampEn	Sample Entropy
	FuzzyEn	Fuzzy Entropy
	ShanEn	Shannon Entropy
	MSEn	Multiscale Entropy (Wu et al., 2013)
	CMSEn	Composite Multiscale Entropy (Wu et al., 2013)
	RCMSEn	Refined CMSEn (Wu et al., 2013)
Fractal	CD	Correlation Dimension or D2 (Bolea et al., 2014)
	HFD	Higuchi's Fractal Dimension (Higuchi, 1988)
	KFD	Katz's Fractal Dimension (Katz, 1988)
	LZC	Lempelziv Complexity (Lempel & Ziv, 1976)
	DFA_α1	Monofractal Detrended Fluctuation Analysis (DFA), corresponding to short-term correlations (Faini et al., 2021)
	DFA_α2	DFA, corresponding to long-term correlations
	MDFA_α1	Multifractal Detrended Fluctuation Analysis (MDFA), corresponding to short-term correlations. MDFA indices describe features of the multifractal singularity spectrum, which is usually in the shape of an inverted parabola (Makowski et al., 2022), to quantify the correlation property of a signal. These features include <i>Fluctuation</i> —amount of fluctuation in signals, <i>Mean</i> —average fluctuation, <i>Maximum</i> —maximum fluctuation, <i>Width</i> —degree of multifractality, <i>Peak</i> —self-affinity level, <i>Delta</i> —range of fluctuations, <i>Asymmetry</i> —symmetry of fluctuation, <i>Increment</i> —robust index of distribution.
	MDFA_α2	MDFA, corresponding to long-term correlations

Lastly, several methods have been developed to calculate RSA indices (**Table 4**). The Peak-to-Trough (P2T) method (Grossman, 1992) calculates RSA by measuring the difference between the highest (peak) and lowest (trough) heart rate within each breathing cycle. This method is straightforward and directly captures the extent of heart rate variability associated

with respiration. The Porges-Bohrer (PB) method (Porges, 1985) is another commonly used approach that involves the use of spectral analysis to assess RSA. This method focuses on isolating the frequency of spontaneous respiration to quantify the magnitude of RSA more precisely. The Gates method (Gates et al., 2015) is the most recent RSA index to be developed. It offers a more sophisticated approach by applying a peak detection algorithm to identify the most significant heart rate changes associated with inhalation and exhalation. While the P2T and PB methods assume that the RSA index is non-varying across the recording period, the Gates method provides a more dynamic (i.e., time-varying) estimate of RSA. Lastly, given the frequency of spontaneous breathing is close to the frequency-domain index of *HF* (Table 2), the *HF* component has also been frequently used in past studies as an RSA index (Grossman et al., 1990).

Table 4

A summary of HRV indices of respiratory sinus arrhythmia (RSA).

Sub Domain	Index	Description
Peak-to-Trough (P2T) method (Grossman, 1992)	RSA Mean (P2T)	Mean of RSA across breath cycles
	RSA Mean Log (P2T)	Logarithm of RSA Mean (P2T)
	RSA SD (P2T)	Standard Deviation of RSA (P2T)
Porges-Bohrer (PB) method (Porges, 1985)	RSA (PB)	PB estimate of RSA
Gates method (Gates et al., 2015)	RSA Mean (Gates)	Mean of RSA across breath cycles, calculated with Gates method
	RSA Mean Log (Gates)	Logarithm of RSA Mean (Gates)
	RSA SD (Gates)	Standard Deviation of RSA (Gates)

Problems Caused by the Proliferation of HRV Indices

Despite their widespread use, the relationships among the existing HRV indices, the specific mechanisms underlying HRV, and pathways linking HRV to the associated mental

and physical health conditions are not yet fully understood. This limited understanding, along with the continued addition of new indices, poses challenges to research progress and may exacerbate issues with replicability. For instance, although these indices are often used interchangeably to describe HRV, evidence suggests that they may not represent the same processes (Lewis et al., 2012). As new methods to quantify HRV continue to be added to the existing pool, it is not uncommon for different studies to rely on different sets of indices to examine the same phenomenon (e.g., psychopathology, Chalmers et al., 2014; cognitive functioning, Forte et al., 2019; self-control, Zahn et al., 2016). This variability between studies increases noise in the literature, complicating the interpretation and synthesis of findings and limiting the generalizability of results—particularly when indices yield conflicting results for the same outcome.

The overlap in statistical properties across HRV indices poses additional pragmatic issues. Early structural studies have demonstrated strong correlations between certain indices. For example, *RMSSD* and *pNN50* correlate above .9, and also show strong correlations with *HF* power (Bigger et al., 1989; Kleiger et al., 2005; Otzenberger et al., 1998). Ciccone et al. (2017) highlighted that *RMSSD* and *SD1* are mathematically equivalent, and studies that report both of these HRV indices in short-term recordings often independently arrive at identical statistical results (e.g., Leite et al., 2015; Peng et al., 2015; Rossi et al., 2015). Other studies have also noted similarities between *SD1/SD2* and *LF/HF*, noting these pairs of indices reflect shared physiology in the balance between short- and long-term HRV (Guzik et al., 2007; Hoshi et al., 2013). When these similarities are not taken into account in analyses, they can lead to statistical issues such as inflated confidence in the results when multiple indices replicate a given trend; collinearity and suppression of effects if multiple correlated indices are used as simultaneous predictors; potential over-correction for multiple comparisons; and needlessly complex and difficult to interpret patterns of results (Dormann

et al., 2013; Mela & Kopalle, 2002; Næs & Mevik, 2001). Further, the overwhelming number of options poses a practical challenge to researchers in identifying which indices to use in their HRV research. In studies without a pre-registered data analysis plan, researchers may be inclined to selectively report indices that support their hypotheses (Andrade, 2021). Overall, the proliferation of HRV indices without a good understanding of their interrelationships creates challenges for standardization, complicates comparisons across studies, and may obscure meaningful research on the link between HRV and health outcomes.

The Present Study

The present study aims to quantify the relationships among HRV indices by employing a consensus-based clustering approach to identify patterns of association across a comprehensive set of indices. By empirically examining the associations among HRV indices, the primary goal is to identify reliable clusters of similar measures likely to be redundant and describe the statistical and physiological characteristics underlying these groupings to streamline research efforts. We first estimated a consensus-based clustering solution in a sample of college students with elevated anxiety. We then assessed the stability of the resulting HRV index clusters over time based on the convergence of the baseline clustering solution with the structure at a second-time point in the same sample one week later. Finally, we assessed the generalizability of the HRV index clusters to a general population sample that was not selected based on their psychological symptom presentation. The final result is based on the clusters of HRV indices that showed cross-method, test-retest, and cross-sample robustness.

Methods

Participants and Procedure

Data for this study utilized secondary data analysis from resting-state assessment in two samples. Participants in **Sample 1** were selected based on their psychiatric presentation (Johnco et al., under review); they had to present with elevated levels of public speaking anxiety, scoring ≥ 5 on two 9-point Likert scale screening items for *fear of public speaking* (“How anxious would you feel giving a formal speech before a live audience?”) and *avoidance* (“How likely is it that you would avoid taking a (elective) class that required an oral presentation?”). Participants in **Sample 2** were not selected based on any psychological criteria.

Sample 1

Participants in Sample 1 completed the resting-state assessment in two sessions, at baseline (T1) and follow-up (T2) a week later. Sample 1 (T1) included 233 adults (74.2% female) aged 18-59 ($M_{\text{age}} = 21.04$, $SD = 6.73$). As some participants did not return for the follow-up session, Sample 1 (T2) included 199 adults (74.9% female) aged 18-59 ($M_{\text{age}} = 21.40$, $SD = 7.32$). All Sample 1 participants were recruited from a first-year undergraduate psychology course in Sydney, Australia, or via flyers on the university campus and social media advertisements. Participants recruited from undergraduate university courses received course credit, and participants recruited from other sources were eligible to receive AUD\$10 for their participation. All participants gave their written consent, and the research protocol was approved by the Macquarie University Human Research Ethics Committee.

At both baseline (T1) and follow-up (T2) sessions, Sample 1 participants completed a 3-minute resting state (eyes opened) procedure, during which they were asked to sit comfortably and breathe spontaneously. Resting-state electrocardiogram (ECG) was sampled

at 265Hz and recorded using the Equivital two-lead sensor belt and the LabChart Software (ADI, Colorado Springs, CO, USA). Participants in Sample 1 also completed a battery of questionnaires at baseline, which assessed for public speaking anxiety, social anxiety, generalized anxiety, and depression.

Personal Report of Public Speaking Anxiety (McCroskey, 1970). This 34-item self-report measures public speaking anxiety using a 5-point Likert scale (1 = *Strongly Disagree* to 5 = *Strongly Agree*), with higher scores indicating greater public speaking anxiety (> 98 indicating moderate and >131 indicating severe levels). Internal consistency was excellent in this sample ($\alpha = .91$). On average, Sample 1 participants scored above the severe level threshold for public speaking anxiety. **Table 5** summarizes the sample characteristics, including their scores on the battery of clinical measures.

Table 5
Sample Characteristics

	Sample 1 (T1) (N=233)	Sample 1 (T2) (N=199)	Sample 2 (N=203)		
			Makowski et al. (2023)	Makowski et al. (2024)	Makowski & Neves (2024)
N	233	199	44	101	58
Sex					
Female (%)	173 (74.2)	149 (74.9)	21 (47.7)	52 (51.5)	41 (70.7)
Male (%)	60 (25.6)	50 (25.2)	23 (52.3)	49 (48.5)	17 (29.3)
Age (years)					
Mean (SD)	21.04 (6.73)	21.40 (7.32)	25.18 (4.92)	26.25 (3.71)	22.98 (6.15)
Psychological assessment					
PRPSA	135.11 (14.56) ^{##}	135.16 (14.20) ^{##}	-	-	-
SPS	38.05 (15.75) [^]	37.66 (15.92) [^]	-	-	-

SIAS	44.77 (15.51) [^]	44.25 (15.69) [^]	-	-	-
GAD-7	10.03 (5.35) [^]	9.92 (5.43) [^]	-	-	-
PHQ-9	11.02 (5.47) [^]	11.05 (5.42) [^]	-	-	-

[^]above clinical threshold, ^{##}above severe level of severity. PRPSA = Personal Report of Public Speaking Anxiety, SPS = Social Phobia Scale, SIAS = Social Interaction Anxiety Scale, GAD-7 = 7-item Generalized Anxiety Disorder Scale, PHQ-9 = 9-item Patient Health Questionnaire.

Social Interaction Anxiety Scale (SIAS) and Social Phobia Scale (SPS) (Mattick & Clarke, 1998). The SIAS is a 20-item measure of anxiety during social interactions, and the SPS is a 20-item measure of fear of being scrutinized during routine activities. Both measures are rated on a 5-point Likert scale (0 = *Not at all characteristic or true of me* to 4 = *Extremely characteristic or true of me*), with higher scores indicating greater anxiety severity. Scores above 36 on the SIAS and 26 on the SPS are indicative of social phobia (Peters, 2000). Internal consistency was excellent for the SIAS and SPS in this sample ($\alpha = .93$ and $.92$). On average, Sample 1 participants scored above the clinical cut-off for social phobia.

Generalized Anxiety Scale (GAD-7; Spitzer et al., 2006). This 7-item self-report measures the severity of general anxiety symptoms using a 4-point Likert scale (0 = *Not at all* to 3 = *Nearly Every Day*). Scores above 7 are indicative of a probable anxiety disorder diagnosis (Plummer et al., 2016). Internal consistency was good in this sample ($\alpha = .89$). On average, Sample 1 participants scored above the clinical cut-off for anxiety.

Patient Health Questionnaire (PHQ-9; Kroenke et al., 2001). This 9-item self-report measures the severity of depression symptoms using a 4-point Likert scale (0 = *Not at all* to 3 = *Nearly Every Day*). Scores above 10 are indicative of probable Major Depressive Disorder (Kroenke et al., 2001). Internal consistency was good in this sample ($\alpha = .85$). On average, Sample 1 participants scored above the clinical cut-off for depression.

Sample 2

Sample 2 included 203 adults (55.2% female) aged 18-50 ($M_{\text{age}} = 25.10$, $SD = 5.24$). The data for Sample 2 is pooled from three separate studies that utilized a shared resting-state procedure. Specifically, Makowski et al. (2023) included 44 participants ($M_{\text{age}} = 25.18$, $SD = 4.92$; 47.7% female), Makowski et al. (2024) included 101 participants ($M_{\text{age}} = 26.25$, $SD = 3.71$; 51.5% female), and Makowski & Neves (2024) included 58 participants ($M_{\text{age}} = 22.98$, $SD = 6.15$; 70.7% female). All participants gave their written consent. Both Makowski et al. (2023) and Makowski et al. (2024) recruited participants from the general university student population in Singapore, and the research protocol was approved by the Nanyang Technological University Institution Review Board. Makowski & Neves (2024) recruited participants from the general university student population in Sussex, United Kingdom, and the research protocol was approved by the University of Sussex Research Ethics Committee.

Participants in Sample 2 completed an 8-minute resting state (eyes closed) procedure during which they were asked to sit comfortably and breathe spontaneously. In Makowski et al. (2023), resting-state ECG was sampled at 4000Hz and recorded using three Ag/AgCl electrodes following chest-mounted configuration (i.e., one electrode under each clavicle and the third on the lower left rib cage). The signals were recorded via the BioPac MP160 system and the AcqKnowledge 5.0 software (BioPac Systems Inc., USA). In Makowski & Neves (2024) and Makowski et al. (2024), resting-state ECG was sampled at 1000Hz and recorded using the same three Ag/AgCl electrode configurations via the PLUX OpenSignals software and BITalino Toolkit (PLUX Biosignals, Portugal). The ECG data for all participants in Sample 2 was made available on the OpenNeuro open-access platform (Makowski et al., 2023, 2024; Makowski & Neves, 2024).

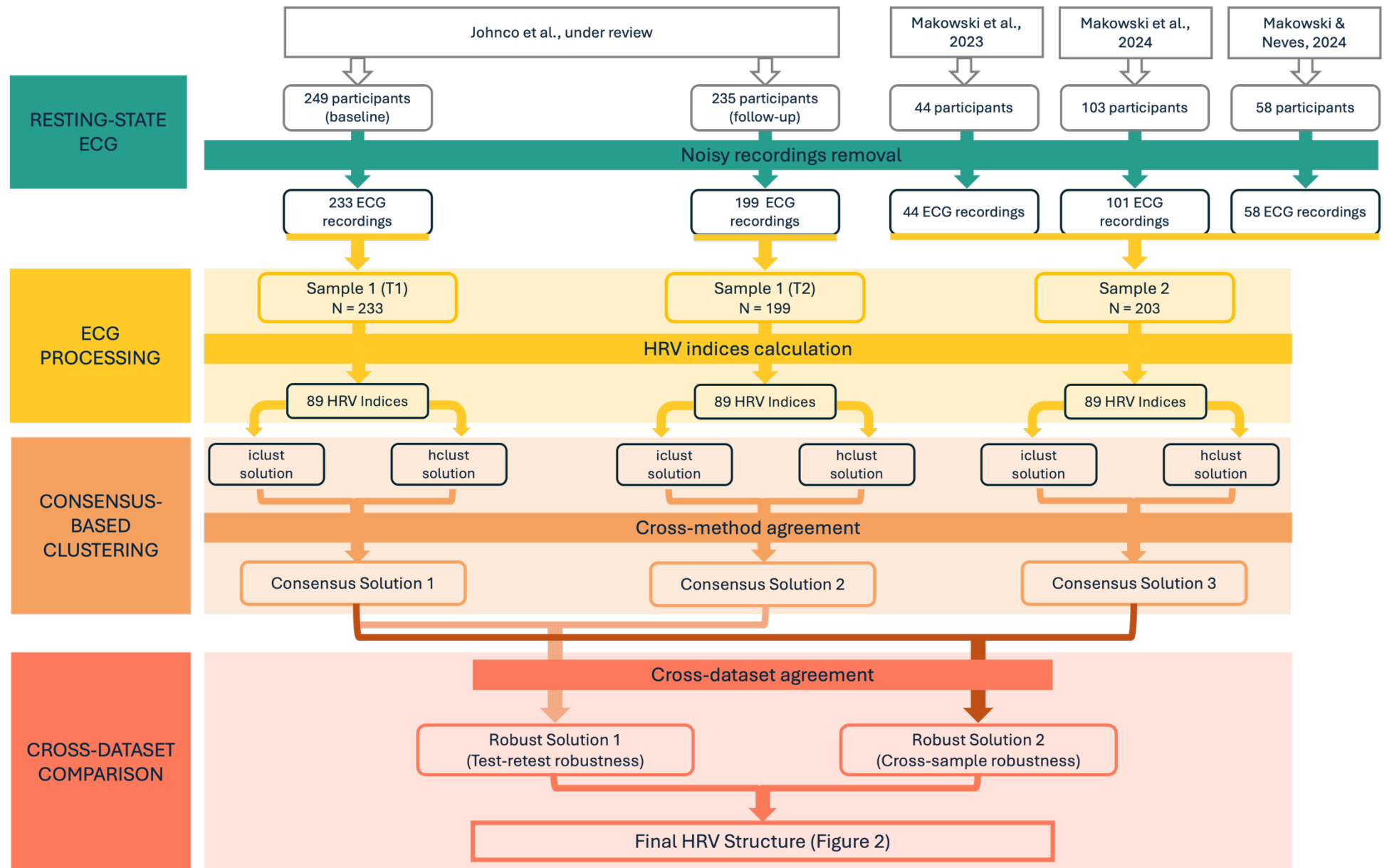
Data Analysis

Figure 1 illustrates the data analysis pipeline. Prior to the ECG processing step, 54 recordings were removed as the R-peaks could not be reliably located due to excessive levels

of noise present. Signal noises were possibly caused by physical movements during data collection or improper placement of acquisition hardware. Specifically, 16 ECG recordings (approximately 6%) were removed from Sample 1 (T1), 36 recordings (approximately 15%) were removed from Sample 1 (T2), and two recordings were removed from Sample 2 (less than 1%).

ECG Processing

The NeuroKit2 software (Makowski et al., 2021) was used to process all ECG recordings. A high-pass filter of 0.5Hz and a notch filter of 50Hz were applied to the signals to remove noises from baseline wander and powerline interference. NeuroKit2 default peak detection algorithm first identifies the QRS complexes based on the steepness of the absolute gradient of the ECG signal, then locates R-peaks as local maxima in the QRS complexes (Brammer, 2020). The detected QRS complexes were visually inspected for spurious results; ectopic beats and artifacts were identified and corrected through an automatic artifact-detection algorithm (Lipponen & Tarvainen, 2019). The default Neurokit2 setting was subsequently used to compute three datasets of 89 HRV indices (see **Tables 1-4** for the description of all HRV indices); the computation was performed separately for Sample 1 (T1), Sample 1 (T2), and Sample 2. Note that the four low-frequency components (i.e., *ULF*,



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Figure 1. Data analysis pipeline. First, noisy electrocardiogram (ECG) recordings were removed. Second, clean ECG recordings were processed to calculate HRV indices. Third, in consensus-based clustering, consensus solutions were identified to include indices assigned to the same clusters by both clustering methods (iclust = item clustering; hclust = hierarchical clustering). Fourth, in cross-dataset comparisons, robust solutions were identified based on indices assigned to the same clusters in both datasets. Final HRV structure summarizes clusters with cross-method, test-retest and cross-sample robustness.

VLF, *LF*, and *LF/HF* indices) could not be reliably quantified as they ideally require a longer recording length than the 3-minute duration used in Sample 1 study (Nussinovitch et al., 2011; Shaffer et al., 2014). Thus, these four indices were not computed in any dataset and were not included in the cluster analysis.

Prior to the consensus-based clustering procedure, we removed the outliers on each index in each dataset; outliers were determined as values with absolute deviation from the median that is three times or greater than the MAD value (median absolute deviation; Leys et al., 2019). On average, 3.3% of data from the Sample 1 (T1), 3.5% of data from the Sample 1 (T2), and 2.6% of data from the Sample 2 were detected as outliers and removed.

Consensus-based Clustering

There is no gold standard or clear guidelines to determine the most appropriate method for grouping these physiological indices. As such, choosing one method and presenting its solution as definitive can be misleading. To avoid identifying clusters idiosyncratic to a specific method, we adapted the consensus-based clustering procedure in Forbes et al. (2021), using two different clustering algorithms: item clustering (*iclust*; Revelle, 1978) and hierarchical agglomerative clustering (*hclust*; Ward, 1963), to identify clusters of closely associated HRV indices. Data analysis was conducted in R 4.2.2 (R Core Team, 2021).

To identify *iclust* solutions, the *iclust* function from *psych* package (Revelle, 2024) was used. The *iclust* algorithm works iteratively based the Spearman's correlation matrix of all indices; it begins with the strongest pair of correlated items or indices and gradually adds more indices to form clusters. The criteria for clusters to form include two tests of internal consistency. The first is the increase-in-coefficient-alpha criterion, which requires the Cronbach's alpha (Cronbach, 1951) of the new cluster to be greater than that of its two components (i.e., pair of indices or pair of clusters). The second is the increase-in-coefficient-

beta criterion which requires the coefficient beta (Revelle, 1979) of the new cluster to be greater than the average beta of the two components. In this study, the criteria for clusters to form are set to be highly conservative; both criteria must be met, and the coefficient beta is at least .8 (i.e., at least 80% of the variance in the components was associated with a shared general factor).

To identify the *hclust* solutions, the *hclust* R function (R Core Team, 2021) was used. The *hclust* algorithm also works iteratively but based on a dissimilarity matrix to progressively merge the two most similar indices or clusters (agglomerative clustering). Unlike *iclust*, which focuses on maximizing internal consistency and stops clustering when the criteria are not met, *hclust* focuses on the overall structure of the data and how indices are related at different levels of similarity or distance (i.e., hierarchical level). Following the procedure in Forbes et al. (2021), the chosen stopping criterion for the *hclust* solutions is at the last unitary cluster, which means that there is only one cluster in the final *hclust* solutions consisting of one HRV index (single-item cluster).

Cross-method agreement: HRV indices assigned to the same cluster in both *iclust* and *hclust* solutions were identified as receiving cross-method agreement and assigned to the same cluster in the consensus solutions. As illustrated in **Figure 1**, we performed the consensus-based clustering procedure separately on Sample 1 (T1), Sample 1 (T2), and Sample 2 datasets to quantify a consensus solution for each dataset, respectively. To evaluate the degree of cross-method agreement, we reported the percentage of indices being assigned to the same clusters.

Cross-dataset Comparisons

To investigate the test-retest robustness of the HRV clusters, the cluster solutions from Sample 1 (T1) and Sample 1 (T2) were compared to identify the clusters of indices that were consistent from baseline to follow-up. Subsequently, to examine the generalizability of the

HRV clusters (i.e., cross-sample robustness), the cluster solutions between Sample 1 (T1) and Sample 2 were compared. Since the resting-state data in these two datasets was acquired with different procedures and in samples with different psychiatric presentations, the comparison assessed whether the HRV clusters are robust to variations in methodology and sample characteristics.

Cross-dataset agreement: In each cross-dataset comparison, HRV indices assigned to the same cluster by both clustering methods in both datasets were identified as receiving cross-dataset agreement and assigned to the same cluster in the robust solution. As illustrated in **Figure 1**, only clusters with indices that showed both test-retest and cross-sample robustness were summarized in the final HRV structure.

Results

Demographic Characteristics

As compared to Sample 2, there was a significantly higher proportion of female participants in Sample 1 (T1) ($\chi^2(1) = 14.5, p < .001$; Cramer's $V = 0.18$), and participants in Sample 1 were also significantly younger ($t(429.81) = 6.99, p < .001$; Cohen's $d = 0.67$, 95% $CI [0.47, 0.86]$).

Consensus-Based Clustering

In the consensus solution of Sample 1 (T1), the *iclust* method identified 15 clusters with cluster sizes ranging from 3 to 23 indices. The *hclust* method identified 20 clusters with cluster sizes ranging from 1 to 13 indices. In the consensus solution, 87 out of 89 (97.8%) indices were assigned to the same clusters by both methods (i.e., cross-method agreement). Two indices, *LZC* and *TINN*, were assigned to different clusters by the two solutions. **Table 1** in **Supplementary Materials** details the *iclust* and *hclust* solutions for Sample 1 (T1).

In the consensus solution of Sample 1 (T2), the *iclust* method identified 20 clusters with cluster sizes ranging from 1 to 26 indices. The *hclust* method identified 20 clusters with cluster sizes ranging from 1 to 16 indices. In the consensus solution, 82 out of 89 (92.1%) indices were assigned to the same clusters by both methods. Seven indices, four indices of *MF DFA* features (*MF DFA $\alpha 2$ Maximum*, *MF DFA $\alpha 2$ Fluctuation.*, *MF DFA $\alpha 1$ Peak*, *MF DFA $\alpha 1$ Mean*), *SDANN1*, *PAS*, and *TINN*, were assigned to different clusters by the two solutions. **Table 2** in **Supplementary Materials** details the *iclust* and *hclust* solutions for Sample 1 (T2).

In the consensus solution of Sample 2, the *iclust* method identified 16 clusters with cluster sizes ranging from 1 to 25 indices. The *hclust* method identified 19 clusters with cluster sizes ranging from 1 to 13 indices. In the consensus solution, 85 out of 89 (95.5%) indices were assigned to the same clusters by both methods. Four indices, *MSEn*, *CMSEn*, *RCMSEn*, and *TINN*, were assigned to different clusters by the two solutions. **Table 3** in **Supplementary Materials** details the *iclust* and *hclust* solutions for Sample 2.

Cross-dataset Comparison

In the first robust solution, which summarizes the convergence of Sample 1 (T1) and Sample 1 (T2) consensus solutions, 80 out of 89 HRV indices were assigned to the same clusters in both solutions (i.e., cross-dataset agreement), showing test-retest robustness. Nine indices did not show test-retest robustness were *LZC*, *TINN*, *MF DFA $\alpha 2$ Maximum*, *MF DFA $\alpha 1$ Mean*, *MF DFA $\alpha 2$ Fluctuation*, *MF DFA $\alpha 1$ Peak*, *SDANN1*, *PAS*, and *CSI (Modified)*.

In the second robust solution, which summarizes the convergence of Sample 1 (T1) and Sample 2 consensus solutions, 72 out of 89 HRV indices were assigned to the same clusters in both solutions, showing cross-sample robustness. 17 indices did not show cross-sample robustness were *LZC*, *TINN*, *MF DFA $\alpha 2$ Maximum*, *MF DFA $\alpha 1$ Mean*, *SDANN1*, *PAS*, *CSI (Modified)*, *CVNN*, *MCVNN*, *ShanEn*, *S*, *CVI*, *MSEn*, *CMSEn*, *RCMSEn*, *RSA SD (P2T)*,

and *RSA SD (Gates)*. Ten of the indices that showed test-retest robustness—*CVNN*, *MCVNN*, *ShanEn*, *S*, *CVI*, *RSA SD (P2T)*, *RSA SD (Gates)*, *MSEn*, *CMSEn*, *RCMSEn*—did not reliably converge in the comparison of consensus solutions between different samples (i.e., failed to show cross-sample robustness). **Table 6** summarizes the cluster memberships of 89 HRV indices across three consensus solutions and two robust solutions.

Table 6.

Summary of cluster memberships for each HRV index in three consensus solutions and two robust solutions.

Indices	Consensus Solution Sample 1 (T1)	Consensus Solution Sample 1 (T2)	Consensus Solution Sample 2	Robust Solution 1 (Sample 1 T1 – T2)	Robust Solution 2 (Sample 1 – Sample 2)
MeanNN	I1_H1	I1_H1	I1_H1	Cluster A	Cluster 1
MedianNN	I1_H1	I1_H1	I1_H1	Cluster A	Cluster 1
Prc20NN	I1_H1	I1_H1	I1_H1	Cluster A	Cluster 1
Prc80NN	I1_H1	I1_H1	I1_H1	Cluster A	Cluster 1
MinNN	I1_H1	I1_H1	I1_H1	Cluster A	Cluster 1
SDNN	I2_H2	I2_H2	I2_H2	Cluster B	Cluster 2
SDNNI1	I2_H2	I2_H2	I2_H2	Cluster B	Cluster 2
SD2	I2_H2	I2_H2	I2_H2	Cluster B	Cluster 2
SD2d	I2_H2	I2_H2	I2_H2	Cluster B	Cluster 2
SD2a	I2_H2	I2_H2	I2_H2	Cluster B	Cluster 2
SDNNd	I2_H2	I2_H2	I2_H2	Cluster B	Cluster 2
SDNNa	I2_H2	I2_H2	I2_H2	Cluster B	Cluster 2
CVNN	I2_H2	I2_H2	I3_H3	Cluster B	-
MadNN	I2_H3	I2_H3	I2_H2	Cluster C	Cluster 3
IQRNN	I2_H3	I2_H3	I2_H2	Cluster C	Cluster 3

Indices	Consensus Solution Sample 1 (T1)	Consensus Solution Sample 1 (T2)	Consensus Solution Sample 2	Robust Solution 1 (Sample 1 T1 – T2)	Robust Solution 2 (Sample 1 – Sample 2)
HTI	I2_H3	I2_H3	I2_H2	Cluster C	Cluster 3
MCVNN	I2_H3	I2_H3	I3_H3	Cluster C	Cluster 4
ShanEn	I2_H3	I2_H3	I3_H3	Cluster C	Cluster 4
RMSSD	I3_H2	I3_H2	I4_H2	Cluster D	Cluster 5
SDSD	I3_H2	I3_H2	I4_H2	Cluster D	Cluster 5
CVSD	I3_H2	I3_H2	I4_H2	Cluster D	Cluster 5
pNN50	I3_H2	I3_H2	I4_H2	Cluster D	Cluster 5
pNN20	I3_H2	I3_H2	I4_H2	Cluster D	Cluster 5
SD1	I3_H2	I3_H2	I4_H2	Cluster D	Cluster 5
SD1d	I3_H2	I3_H2	I4_H2	Cluster D	Cluster 5
SD1a	I3_H2	I3_H2	I4_H2	Cluster D	Cluster 5
S	I3_H2	I3_H2	I2_H2	Cluster D	Cluster 6
CVI	I3_H2	I3_H2	I2_H2	Cluster D	Cluster 6
MaxNN	I3_H1	I3_H2	I1_H1	Cluster E	Cluster 7
RSA Mean (Gates)	I3_H1	I3_H2	I1_H1	Cluster E	Cluster 7
RSA Mean Log (Gates)	I3_H1	I3_H2	I1_H1	Cluster E	Cluster 7
RSA Mean (P2T)	I4_H2	I4_H2	I5_H2	Cluster F	Cluster 8
RSA Mean Log (P2T)	I4_H2	I4_H2	I5_H2	Cluster F	Cluster 8

Indices	Consensus Solution Sample 1 (T1)	Consensus Solution Sample 1 (T2)	Consensus Solution Sample 2	Robust Solution 1 (Sample 1 T1 – T2)	Robust Solution 2 (Sample 1 – Sample 2)
RSA (PB)	I4_H2	I4_H2	I5_H2	Cluster F	Cluster 8
RSA SD (P2T)	I4_H2	I2_H2	I2_H2	Cluster G	-
RSA SD (Gates)	I4_H2	I2_H2	I3_H3	Cluster G	-
SDRMSSD	I5_H4	I5_H4	I6_H4	Cluster H	Cluster 9
SD1SD2	I5_H4	I5_H4	I6_H4	Cluster H	Cluster 9
CSI	I5_H4	I5_H4	I6_H4	Cluster H	Cluster 9
HF	I6_H5	I6_H5	I7_H5	Cluster I	Cluster 10
VHF	I6_H5	I6_H5	I7_H5	Cluster I	Cluster 10
TP	I6_H5	I6_H5	I7_H5	Cluster I	Cluster 10
LnHF	I6_H5	I6_H5	I7_H5	Cluster I	Cluster 10
HF _n	I7_H4	I7_H4	I6_H4	Cluster J	Cluster 11
DFA α 1	I7_H4	I7_H4	I6_H4	Cluster J	Cluster 11
HFD	I7_H4	I7_H4	I6_H4	Cluster J	Cluster 11
MF DFA α1 Peak	I7_H4	I7_H19	I6_H4	-	Cluster 11
PIP	I8_H6	I8_H6	I8_H6	Cluster K	Cluster 12
IALS	I8_H6	I8_H6	I8_H6	Cluster K	Cluster 12
PSS	I8_H6	I8_H6	I8_H6	Cluster K	Cluster 12
GI	I9_H7	I9_H7	I9_H7	Cluster L	Cluster 13

Indices	Consensus Solution Sample 1 (T1)	Consensus Solution Sample 1 (T2)	Consensus Solution Sample 2	Robust Solution 1 (Sample 1 T1 – T2)	Robust Solution 2 (Sample 1 – Sample 2)
SI	I9_H7	I9_H7	I9_H7	Cluster L	Cluster 13
AI	I9_H7	I9_H7	I9_H7	Cluster L	Cluster 13
PI	I10_H8	I10_H8	I10_H8	Cluster M	Cluster 14
C1d	I10_H8	I10_H8	I10_H8	Cluster M	Cluster 14
C1a	I10_H8	I10_H8	I10_H8	Cluster M	Cluster 14
C2d	I11_H8	I11_H9	I10_H8	Cluster N	Cluster 15
C2a	I11_H8	I11_H9	I10_H8	Cluster N	Cluster 15
Cd	I11_H8	I11_H9	I10_H8	Cluster N	Cluster 15
Ca	I11_H8	I11_H9	I10_H8	Cluster N	Cluster 15
MF DFA $\alpha 1$ Width	I12_H9	I12_H10	I11_H9	Cluster O	Cluster 16
MF DFA $\alpha 1$ Fluctuation	I12_H9	I12_H10	I11_H9	Cluster O	Cluster 16
MF DFA $\alpha 1$ Increment	I12_H9	I12_H10	I11_H9	Cluster O	Cluster 16
MF DFA $\alpha 1$ Maximum	I13_H10	I13_H11	I12_H10	Cluster P	Cluster 17
MF DFA $\alpha 1$ Delta	I13_H10	I13_H11	I12_H10	Cluster P	Cluster 17
MF DFA $\alpha 1$ Asymmetry	I13_H10	I13_H11	I12_H10	Cluster P	Cluster 17
DFA $\alpha 2$	I14_H11	I14_H12	I13_H11	Cluster Q	Cluster 18
MF DFA $\alpha 2$ Peak	I14_H11	I14_H12	I13_H11	Cluster Q	Cluster 18
MF DFA $\alpha 2$ Mean	I14_H11	I14_H12	I13_H11	Cluster Q	Cluster 18

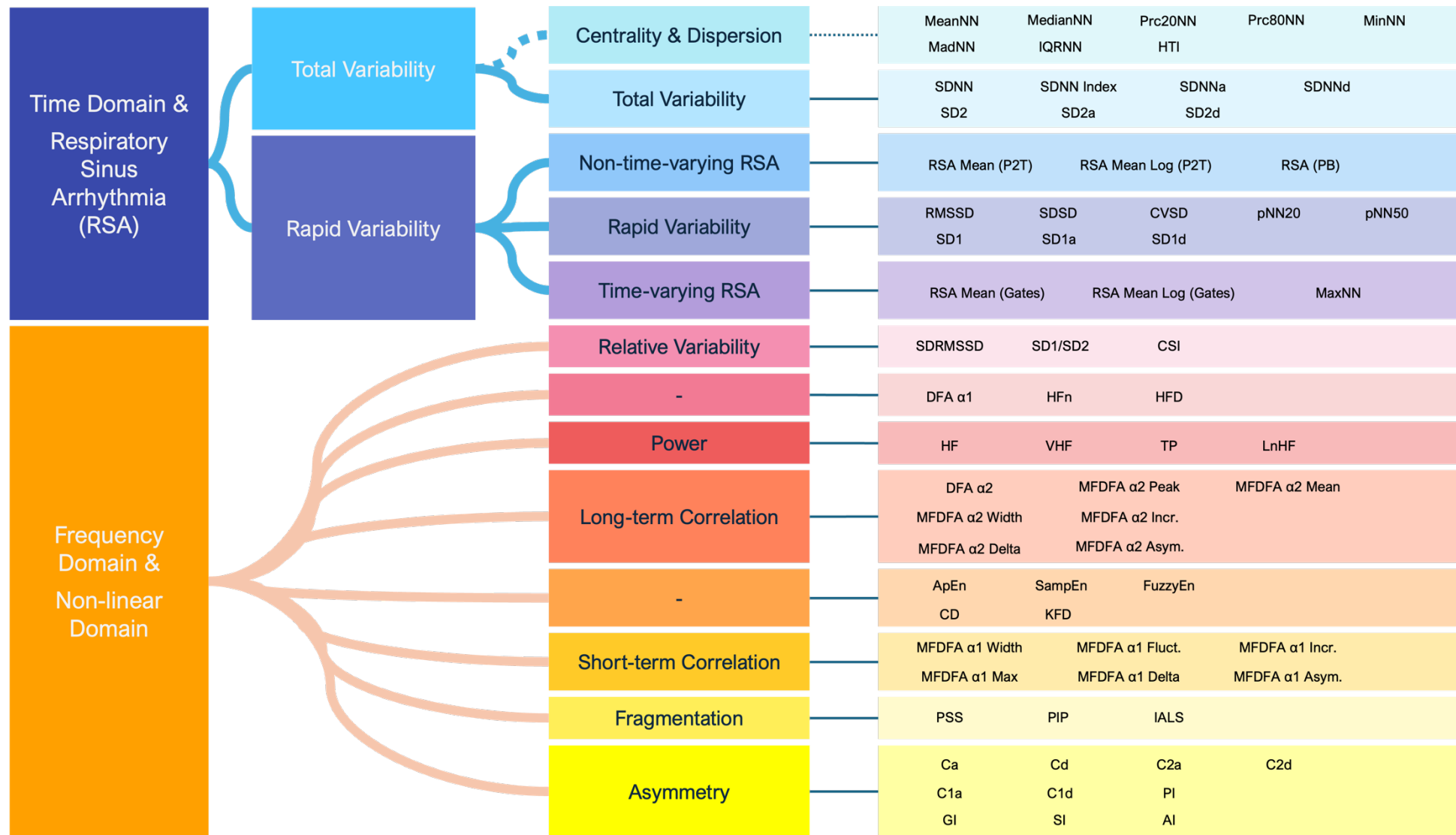
Indices	Consensus Solution Sample 1 (T1)	Consensus Solution Sample 1 (T2)	Consensus Solution Sample 2	Robust Solution 1 (Sample 1 T1 – T2)	Robust Solution 2 (Sample 1 – Sample 2)
MF DFA $\alpha 2$ Width	I15_H12	I15_H13	I14_H12	Cluster R	Cluster 19
MF DFA $\alpha 2$ Increment	I15_H12	I15_H13	I14_H12	Cluster R	Cluster 19
MF DFA $\alpha 2$ Fluctuation	I15_H12	I20_H20	I14_H12	-	Cluster 19
MF DFA $\alpha 2$ Delta	I16_H13	I16_H14	I15_H13	Cluster S	Cluster 20
MF DFA $\alpha 2$ Asymmetry	I16_H13	I16_H14	I15_H13	Cluster S	Cluster 20
ApEn	I17_H14	I17_H15	I6_H4	Cluster T	Cluster 21
SampEn	I17_H14	I17_H15	I6_H4	Cluster T	Cluster 21
FuzzyEn	I17_H14	I17_H15	I6_H4	Cluster T	Cluster 21
CD	I17_H14	I17_H15	I6_H4	Cluster T	Cluster 21
KFD	I17_H14	I17_H15	I6_H4	Cluster T	Cluster 21
MSEn	I18_H15	I18_H16	I16_H14	Cluster U	-
CMSEn	I18_H15	I18_H16	I17_H15	Cluster U	-
RCMSEn	I18_H15	I18_H16	I18_H16	Cluster U	-
CSI (Modified)	I19_H3	I5_H17	I2_H5	-	-
TINN	I20_H1	I19_H18	I17_H2	-	-
LZC	I17_H4	I5_H4	I6_H4	-	-
SDANN1	I19_H3	I19_H3	I3_H3	-	-
PAS	I8_H6	I4_H6	I19_H4	-	-

Indices	Consensus Solution Sample 1 (T1)	Consensus Solution Sample 1 (T2)	Consensus Solution Sample 2	Robust Solution 1 (Sample 1 T1 – T2)	Robust Solution 2 (Sample 1 – Sample 2)
MF DFA α1 Mean	I13_H10	I13_H21	I11_H9	-	-
MF DFA α2 Maximum	I16_H13	I15_H22	I19_H4	-	-

Note. In each Consensus Solution column, the consensus cluster names (e.g., I1_H1) were created by concatenating the results of the item clustering method (e.g., I1) and the hierarchical clustering method (e.g., H1). Unique numbers were assigned to unique clusters of the respective dataset (e.g., I1 and I2 are two unique clusters in item clustering results). HRV indices that share the same consensus cluster name show cross-method robustness. Robust Solution columns summarize the cross-dataset comparisons. Robust cluster names were created with unique alphabet (e.g., Cluster A) or unique number (e.g., Cluster 1); HRV indices that did not cluster with another index were left blank. Both alphabets and numbers were assigned in ascending order, by the order of appearance of clusters. HRV indices that share the same robust cluster name in Robust Solution 1 and 2 show test-retest and cross-sample robustness respectively. Indices that failed to show test-rest or cross-sample robustness are bolded and excluded from the final HRV structure in Figure 2.

Final HRV structure

The final HRV structure (**Figure 2**) summarizes the robust clusters that showed cross-method agreement in all three datasets as well as both test-retest and cross-sample robustness in the cross-dataset comparisons. The final HRV structure includes 70 HRV indices that consistently formed 19 robust clusters. Additionally, the final HRV structure summarizes the marked similarities in the higher-order structure of the three hierarchical clustering (*hclust*) solutions (**Figures 1-3 in Supplementary Materials**). Specifically, across the hierarchical structures of the three datasets, Sample 1 (T1), Sample 1 (T2), and Sample 2, we observed two overarching clusters, with one mainly consisting of the time-domain and RSA indices and the other consisting of frequency-domain and non-linear indices. The hierarchical structure in **Figure 2** summarizes this convergence across the hierarchical clustering solutions.



1 **Figure 2.** Summary of the final HRV structure. The lowest level (most right) in the hierarchical model represents the 19 robust clusters of 70 indices that received cross-sample agreement across three datasets. The cluster labels (third column from the left) were assigned according to the mathematical or hypothesized physiological underpinning of the indices. Two clusters were not assigned a label (“ - ”) as the relationships between the members were not clear. The mapping between the clusters across the hierarchical levels represents the convergence of the hclust solutions. Solid lines represent the mapping that was observed across all three hclust solutions. The dotted line represents the mapping that diverged in at least one hclust solution.

Discussion

In this study, we sought to identify a robust structure of HRV indices that consistently capture the interrelationships among 89 HRV indices. To maximize the stability of the final HRV structure, we used two clustering methods to examine the robustness of the clustering solutions across time and samples. This study has four main findings. First, we found that *item clustering* and *hierarchical clustering* yielded highly consistent results, with more than 90% of indices showing cross-method agreement in all three datasets. Second, the structure of HRV indices showed good test-retest robustness (i.e., stability), with 89.9% of indices being assigned to the same clusters in the item clustering and hierarchical clustering solutions at both baseline and a second-time point a week later. Third, the structure of HRV yielded good cross-sample robustness (i.e., generalizability). Despite the substantial differences in methodologies (i.e., different resting durations, eye-closed versus eye-open procedure, acquisition equipment, sampling rate) and sample characteristics (i.e., psychiatric symptom severity, gender distribution, age) between Sample 1 and Sample 2, we found substantial convergence in 87.6% of indices being assigned to the same clusters in the clustering solutions of both samples. Finally, we found clusters of HRV clusters that reinforce the extant literature highlighting specific mathematical and physiological relationships between some indices, as well as observing several clusters of indices for the first time. In the remainder of this discussion, we describe each robust HRV cluster and discuss in-depth their potential shared statistical and physiological characteristics in the context of extant research on their relationships (see **Table 7** for a summary).

Table 7

Summary of clusters and their physiological and mathematical relationship.

Cluster Labels	Indices	Physiological and Mathematical Relationship
Centrality & Dispersion	MeanNN, MedianNN, Prc20NN, Prc80NN, MinNN	Time-domain indices that describe central tendency of the heart rate distribution.
	MadNN, IQRNN, HTI	Time-domain indices that describe the dispersion of the heart rate distribution.
Rapid Variability	RMSSD, SDDSD, CVSD, pNN20, pNN50, SD1, SD1a, SD1d	Time-domain indices that reflect rapid, short-term heart rate variability.
Total Variability	SDNN, SDNN Index, SDNNa, SDNNd, SD2, SD2a, SD2d	Time-domain indices that reflect both short-term and long-term heart rate variability. In short recording periods, total variability is likely to predominantly consists of short-term fluctuations.
Non-time-varying RSA	RSA Mean (P2T), RSA Mean Log (P2T), RSA (PB)	Respiratory sinus arrhythmia (RSA) indices that provide non-time-varying estimate of RSA
Time-varying RSA	RSA Mean (Gates), RSA Mean Log (Gates), MaxNN	Respiratory sinus arrhythmia (RSA) indices that provide time-varying estimate of RSA
Relative Variability	SDRMSSD, SD1SD2, CSI	Time-domain and non-linear domain indices that quantify the ratio of short-term and long-term heart rate variability
Power	HF, VHF, TP, LnHF	Frequency-domain indices that provide absolute estimation of power components.
Long-term Correlation	DFA α_2 , MF DFA α_2 Peak, MF DFA α_2 Mean MF DFA α_2 Width, MF DFA α_2 Incr.	Hurst exponent measures that quantify the self-similarity structure by estimating long-term (>11 heartbeats) temporal correlation of heart rate timeseries.

Cluster Labels	Indices	Physiological and Mathematical Relationship
	MF DFA $\alpha 2$ Delta, MF DFA $\alpha 2$ Asym.	
Short-term Correlation	MF DFA $\alpha 1$ Width, MF DFA $\alpha 1$ Fluct., MF DFA $\alpha 1$ Incr. MF DFA $\alpha 1$ Max, MF DFA $\alpha 1$ Delta, MF DFA $\alpha 1$ Asym.	Hurst exponent measures that quantify the self-similarity structure by estimating short-term (4-11 heartbeats) temporal correlation of heart rate timeseries.
Fragmentation	PIP, PSS, PAS	Heart rate fragmentation indices that quantify the abrupt and high-frequency switching between the accelerations and decelerations of heart rate.
Asymmetry	GI, SI, AI PI, C1a, C1a Ca, Cd, C2a, C2d	Heart rate asymmetry indices that quantify the asymmetric contribution of heart rate acceleration and deceleration to heart rate variability.
-	DFA $\alpha 1$, HF _n , HFD	Cluster of Hurst exponent (DFA $\alpha 1$), normalized high-frequency component (HF _n) and fractal dimension measure (HFD). Observed for the first time in this study.
-	ApEn, SampEn, FuzzyEn, CD, KFD	Cluster of monoscale entropy indices (ApEn, SampEn, FuzzyEn) and two fractal dimension measures (CD and KFD). Observed for the first time in this study.

RSA and Time-domain Indices

RSA Indices

In the final HRV structure, five RSA indices (**Table 3**) formed two tight-knit clusters: One cluster comprised the non-time-varying RSA measures *RSA (PB)*, *RSA Mean (P2T)* and *RSA Mean Log (P2T)* (i.e., the non-time-varying RSA cluster in Figure 2) and the other cluster included two time-varying RSA indices from Gates et al. (2015), *RSA Mean (Gates)* and *RSA Mean Log (Gates)*, (i.e., the time-varying RSA cluster). The close associations between the RSA indices are consistent with the existing literature (Gates et al., 2015; Lewis et al., 2012). Nevertheless, the resulting clusters also highlight a specificity that potentially differentiates between the non-time-varying quantification (Grossman, 1992; Porges, 1985) and the novel time-varying quantification of RSA (Gates et al., 2015).

Time-domain Indices

The time-domain indices (see **Table 1**) that focus on the variability of NN intervals were mainly split into two clusters; one predominantly consisted of indices that are sensitive to rapid changes or short-term variability of HR (i.e., the rapid variability cluster), and the other included indices that potentially reflect both short-term and long-term variability (i.e., the total variability cluster). The remaining time-domain indices formed three robust clusters that were consistently identified across methods and datasets. Based on the shared characteristics of their cluster members, two of these robust clusters were labeled centrality and dispersion clusters, and the remaining robust cluster of time-domain indices was labeled as relative variability.

Rapid Variability. The rapid variability cluster comprised eight indices, including five time-domain indices (*RMSSD*, *SDSD*, *CVSD*, *pNN20*, and *pNN50*) and three indices from Poincaré plot (*SDI*, *SDIa*, and *SDId*). The close associations between these HRV indices align with existing literature. Mathematically, *SDI*, which measures the short-term

HRV, is equivalent to *RMSSD* and *SDSD* (Brennan et al., 2002; Ciccone et al., 2017; Kamen & Tonkin, 1995; Shaffer & Ginsberg, 2017). *CVSD* is a derivative of *RMSSD* and *pNN50* has shown a strong correlation with *RMSSD* in many past studies (Bigger, 1997; Shaffer & Ginsberg, 2017).

From a physiological perspective, studies suggest that the indices in this cluster likely reflect rapid changes in HR (Brennan et al., 2002; Ciccone et al., 2017; Shaffer et al., 2014; Toichi et al., 1997), influenced by physiological processes such as the baroreceptor reflex (Eckberg & Sleight, 1992) or the parasympathetic effects of the autonomic nervous system (ANS). The parasympathetic branch of the ANS impacts HR more rapidly—in less than one second—than sympathetic effects, which can take up to three seconds (Feher, 2012; Nunan et al., 2010). On the higher level in the hierarchical clustering solutions (**Figure 2**), the rapid variability cluster also displayed proximity with both clusters of parasympathetically-mediated RSA indices (non-time-varying RSA and time-varying RSA clusters), further supporting a possible shared physiological relationship with the parasympathetic influence.

Total Variability. The total variability cluster included seven indices: two time-domain indices (*SDNN* and *SDNN Index*), two heart rate asymmetry (HRA) indices (*SDNNa* and *SDNNd*), and three indices from Poincaré plot (*SD2*, *SD2a*, and *SD2d*). The close associations between these indices could be attributed to their mathematical relationships, as *SDNN Index*, *SDNNa* and *SDNNd* are derivatives of *SDNN*, while *SD2a* and *SD2d* are derivatives of *SD2*. Regarding their physiological association, both *SDNN* and *SD2* have been observed to be influenced by both short-term and long-term variability. They are therefore likely to capture the total variability in the HR signals (Brennan et al., 2002; Task Force, 1996). It is important to note that in short recording periods such as the one used in this study, the total variance in the HR signal would predominantly consist of short-term rapid fluctuations influenced by parasympathetic contributions; the slower variance is captured to a greater extent in longer recordings (e.g., 24 hours).

Centrality and Dispersion. The two robust clusters that subsumed remaining time-domain indices were labeled centrality and dispersion clusters (**Figure 2**) because they mainly consist of time-domain indices that describe the statistical features of a variable distribution (Cardinal, 2015). In particular, *MeanNN*, *MedianNN*, *Prc20NN*, and *Prc80NN* describe the central tendency of the HR distribution, while *IQRNN* and *MadNN* are mathematical descriptions of dispersion (e.g., the interquartile range or the median absolute deviation of NN intervals). Across the clustering solutions, the centrality and dispersion cluster that includes the indices that describe the spread of the distribution (*IQRNN* and *MadNN*) was consistently observed to join the total variability cluster at the higher-order level in the hierarchies.

Relative Variability. The last robust cluster of time-domain indices included those that describe the ratio of short-term and long-term NN interval variability (i.e., the relative variability cluster). The close association between the indices in this cluster (*SDRMSSD*, *SD1/SD2*, and *CSI*) is mainly attributed to their mathematical relationship. Specifically, *SDRMSSD* is equivalent to *SDNN/RMSSD* ratio, and as previously discussed, and there is a close statistical relationship between *SDNN* and *SD2* and between *RMSSD* and *SD1*. Further, *CSI* and *SD1/SD2* are derived using almost identical mathematical equations (Toichi et al., 1997). Interestingly, the relative variability cluster was observed to consistently join other frequency/non-linear clusters at the higher-order level in the hierarchical structure (**Figure 2**), which suggests that the relative variability indices might capture the unpredictability and irregularities of non-linear changes in the HR signals more so than other time-domain indices.

Frequency-domain and Non-linear Indices

The higher-order clusters in the hierarchical clustering solutions suggest a close association between frequency-domain measures—encompassing traditional frequency-based indices and their normalized derivatives (**Table 2**) and non-linear measures—encompassing heart rate

fragmentation, heart rate asymmetry, entropy-based, and fractal-based indices (**Table 4**). The high level of similarity between non-linear and frequency-weighted spectral indices aligns with previous literature that has theoretically demonstrated and empirically verified their proximity (Captur et al., 2017; Francis et al., 2002; Lensen et al., 2020; Young & Benton, 2015). Importantly, the association between frequency-domain and non-linear indices appears to be stronger than the association of either of these domains with time-domain indices. In the hierarchical structure (**Figure 2**), the time-domain clusters only merge with these clusters at the highest level in the hierarchy. The indices in the frequency/non-linear higher-order cluster are mainly grouped with those from the same operational domains (e.g., entropy-based or fractal-based). Nevertheless, there are also intriguing close associations between indices across the operational domains observed for the first time in this analysis.

Frequency-domain Indices

Out of the five frequency-domain indices included, *HF_n* is the only relative quantification of frequency components, calculated by dividing the individual component by the total power. Across all the consensus solutions, *HF_n* is not assigned to the same cluster with the other four absolute estimations of power components (*HF*, *LnHF*, *VHF* and *TF*) which consistently formed one robust cluster (i.e., the power cluster). From a physiological perspective, the absolute frequency indices are believed to quantify the amount of variation attributable to different physiological mechanisms. In contrast, relative frequency indices quantify the relative contribution of these mechanisms to the total variability of HR. As the low-frequency components (i.e., *ULF*, *VLF*, *LF*, and *LF/HF* indices) could not be reliably quantified in this study due to the constraint of recording lengths (Nussinovitch et al., 2011; Shaffer et al., 2014), the associations between different power components and the implied relative contributions to HRV could not be examined in this study.

Non-linear Indices

Asymmetry. The heart rate asymmetry (HRA) indices measure the asymmetric contribution of HR acceleration and deceleration to HRV (Guzik et al., 2007; Piskorski & Guzik, 2011; Yan et al., 2017b). These indices split into three clusters, labeled as asymmetry clusters, following their differences in physiological and mathematical meanings. The first asymmetry cluster comprised *C1a* and *C1d*, indexing the asymmetrical contribution of accelerations or decelerations to the short-term HRV. The second cluster comprised *C2a* and *C2d*, indexing the asymmetrical contributions to long-term HRV, and *Ca* and *Cd*, indexing the asymmetrical contributions to total HRV. While the physiological origin of HRA remains unclear, the resulting clusters are consistent with existing data that highlight similar asymmetry patterns in long-term and total HRV. Specifically, while HR decelerations tend to dominate accelerations in short-term HRV, decelerations often demonstrate smaller contributions to long-term and total HRV (Piskorski & Guzik, 2011; Sibrecht et al., 2023). The last HRA cluster comprised *AI*, *GI*, and *SI*, which index the asymmetrical contribution of decelerations to HRV using geometrical information from the Poincaré plot (Yan et al., 2017b). Overall, the HRA indices formed exclusive clusters across methods and datasets, highlighting their unique characterization of HRV and physiological processes that warrant further investigation.

Fragmentation. The heart rate fragmentation (HRF) indices measure the “erratic” behaviours in heart rhythm, manifesting as abrupt and high-frequency switching between the accelerations and decelerations of HR (Costa et al., 2017b). Three out of four of these indices (*PSS*, *PIP*, and *IALS*) formed a robust cluster (i.e., the fragmentation cluster) that was reliably identified across methods and datasets. Similar to HRA indices, even though the diagnostic values of HRF indices have been examined (Costa et al., 2017b, 2021), the specific physiological mechanisms underlying them remain largely unknown.

Complexity-based Indices. The entropy-based and fractal-based indices quantify the overall complexity of the HR signals using different mathematical approaches. The entropy-

based indices split into two clusters depending on whether they quantify the complexity or irregularity of the HR signal using one timescale (monoscale-based) or multiple timescales (multiscale-based). This study found that while the cluster of monoscale entropy indices (*ApEn*, *SampEn*, and *FuzzyEn*) were consistently assigned to the same cluster across methods and samples, multiscale entropy indices (*MSEn*, *CMSEn*, and *RCMSEn*) formed a cluster in Sample 1 but not in Sample 2 dataset.

The fractal-based indices included measures of fractal dimension (*CD*, *HFD*, and *KFD*) and measures of Hurst exponent (*DFA*, *MDFA*, and their derivatives). Both fractal dimension and Hurst exponent quantify the complexity of an HR signal using their correlation properties, defined as the degrees of self-similarity of the heartbeat sequence over time (Rogers & Gronwald, 2022). Generally, an HR signal with higher self-similarity will be more irregular and thus represent more complex variability (Henriques et al., 2020; Lau et al., 2022b). While these indices share a mathematical origin, they yielded an intriguing pattern of associations and groupings that warrant further investigation.

Most of the Hurst exponent measures consistently split into clusters depending on the time scales used. Specifically, the majority of *DFA* and *MDFA* indices that use the short-term time scale (i.e., α_1 exponent with the scale of 4-11 heartbeats) formed two clusters (i.e., short-term correlation clusters). These clusters joined each other at the higher-order level in the hierarchical structures, as shown in **Figure 2**. Similarly, the Hurst exponent indices that use the long-term time scale (i.e., α_2 exponent with the scale of >11 heartbeats) formed two clusters (i.e., long-term correlation clusters); they also joined at the higher-order level. This cluster structure aligned with the existing literature that associated two scaling exponents with different control mechanisms acting at distinct time scales. The short-term scaling exponent α_1 potentially reflects the influence of rapid physiological processes on HR dynamics such as the parasympathetic (vagal) activities or baroreceptor reflex. Conversely, the long-term scaling exponent could reflect the influence of slower regulatory processes

such as sympathetic activities or thermoregulatory and endocrine processes (Pham et al., 2021; Shaffer & Ginsberg, 2017). Nevertheless, the mathematical quantification and physiological meanings of the DFA and MDFA indices remain an active field of research. Their validity in characterizing the complexity of HR, especially in short recordings such as the one used in this study has recently been questioned (Carpena et al., 2021). This quickly prompted the development of the modified DFA to improve their validity in short physiological recordings (Gong & Fu, 2023).

Even more intriguing is the close association between the fractal dimension measures with other measures of different mathematical origins. While two of the fractal dimension measures (*CD* and *KFD*) were consistently clustered together with the monoscale entropy indices, *HFD* showed a reliably close association with *HF n* and *DFA $\alpha 1$* . These associations are observed for the first time in this study, and therefore, the clusters were not labeled in the final HRV structure. Further investigation is needed to replicate and explore the underlying reason for their proximity.

Recommendations for selection of HRV indices

In this study, 19 clusters of 70 HRV indices were consistently identified across two clustering methods and three datasets (**Figure 2**). Based on the robust empirical associations found and the relative popularity of some HRV indices in the extant literature, we provided three recommendations on the selection of HRV indices in future research. **Table 8** summarizes the recommendations.

First, given the potential statistical issues (e.g., inflated Type I error rates) and possible systematic research biases (e.g., inflated confidence in results) caused by the use of redundant or highly correlated indices (Dormann et al., 2013; Mela & Kopalle, 2002; Næs & Mevik, 2001), we recommend future analysis avoid including more than one HRV index from the same cluster. For instance, if *RMSSD* is used, other indices in the same cluster—such as *SDSD*, *CVSD*, or *SDI*—should not be interpreted.

Second, if researchers do decide to include multiple indices from the same cluster, we recommend commenting on their close association and avoiding overemphasis on the apparent robustness of findings when indices from the same cluster show similar patterns of significant results. Notably, this recommendation is also relevant for data extraction in meta-analysis, where researchers are often required to decide which effects to extract when primary studies report multiple HRV indices. If meta-analysis researchers decided to extract effects with more than one HRV index, we recommend choosing indices from different clusters found in this study to improve construct validity.

Finally, based on our results and the relative popularity of some HRV indices in the extant literature, for short recordings of rest (under 10 minutes) we recommend researchers use the following indices: *RMSSD*, *SDNN*, *RSA* (Porges-Bohrer or Peak-to-Trough method), *RSA* (Gates method), *SD1/SD2* or *CSI*, *ApEn* or *SampEn*, *HF* or *LnHF*, *DFA a1*, *DFA a2*, one of *MDFA a1* features, one of *MDFA a2* features, one of the heart rate asymmetry indices, and

one of the heart rate fragmentation indices. For longer recordings, researchers can consider including low-frequency components such as *LF* or *VLF*, and *LF/HF*. Avoiding the inclusion of redundant or substantially similar indices could help to facilitate a more nuanced interpretation of HRV data and enhance the validity of conclusions drawn about the relationships between HRV and various physiological or psychological constructs.

Table 8

Summary of recommendations on the selection of HRV indices for resting state.

#	Recommendations on selection of HRV indices
1	To avoid biases from redundant or correlated indices, we recommend authors of empirical studies and meta-analyses <i>avoid including more than one HRV index from the same cluster in their analysis.</i>
2	If researchers decided to include multiple indices from the same cluster, we recommend commenting on their close association and avoiding overemphasis of any apparent ‘robustness’ of findings related to indices of the same cluster showing similar patterns of significant results.
3	Based on the relative popularity of the indices, we recommend authors to consider these indices for short recording of rest (under 10 minutes): <i>RMSSD</i> , <i>SDNN</i> , <i>RSA</i> (Porges-Bohrer or Peak-to-Trough method), <i>RSA</i> (Gates method), <i>SD1/SD2</i> or <i>CSI</i> , <i>ApEn</i> or <i>SampEn</i> , <i>HF</i> or <i>LnHF</i> , <i>DFA α1</i> , <i>DFA α2</i> , one of <i>MDFA α1</i> features, one of <i>MDFA α2</i> features, one of the heart rate asymmetry indices, and one of the heart rate fragmentation indices.

Even though reduced HRV at rest has been associated with a wide range of mental and physical health outcomes, the dynamic interactions between mechanisms underlying HRV and specific biological pathways linking them to physical and mental health conditions remain poorly understood. The robust clusters identified in this study may capture distinct aspects of heart rate dynamics, each potentially reflecting different underlying mechanisms of autonomic regulation during rest. Given these possibilities, it would be particularly valuable for future research to explore how each cluster of HRV indices relates to specific physical (e.g., cardiovascular function, diabetes) or psychological outcomes rather than assuming all HRV indices measure the same regulatory processes. This targeted approach could enhance

our understanding of the specific pathways linking HRV to health conditions, leading to more precise interpretations of HRV data in both clinical and research contexts.

Limitations

This study, while providing valuable insights into the clustering of HRV indices, has several limitations that should be considered when interpreting the findings. First, the use of short recordings (approximately 3 minutes) in this study limited the scope of the study because HRV indices that are sensitive to slower variability could not be reliably quantified and therefore were excluded from the analysis. Second, even though this study has examined the robustness of the HRV structure across the common resting-state protocols in empirical research, it is important to highlight that the cluster structure identified in this study is specific to HRV quantified at rest and in the controlled laboratory setting. The relationships observed between the HRV indices could vary depending on several factors, including the length of the ECG recordings (e.g., 10 minutes versus 24 hours), the participant's position during the recording (e.g., sitting, standing, or supine), and the activity being performed (e.g., controlled rest versus free-running). When the monitoring period is sufficiently long to record the slower physiological processes such as those related to circadian rhythms or longer-term autonomic fluctuations, it is plausible that the indices could vary differently and consequently attenuate the structure of their relationships. For instance, some indices might have the property of increasing while others decrease with specific fluctuations of endocrine factors (e.g., cortisol). Therefore, caution should be exercised when generalizing these findings to other populations or experimental conditions. Future research should explore these factors to determine the extent to which the identified clusters hold across different settings and populations, as well as with recordings of different durations.

Conclusion

HRV is a complex phenomenon shaped by the dynamic interplay of various physiological systems including the sympathetic and parasympathetic branches of the ANS, and regulatory mechanisms governing respiration, blood pressure, vascular tone, and longer-term processes such as circadian rhythms and body temperature. While HRV indices are all designed to quantify variability in HR, it is crucial not to assume that they share the same physiological significance. Individual measures or clusters of related indices may reflect distinct underlying mechanisms or specific interactions between them. In this study, we applied a consensus-based clustering approach to enhance our understanding of the relationships among 89 HRV indices derived from resting-state assessments. Our findings provide a framework that can aid in the selection of HRV indices and interpretation of HRV data, facilitating a deeper and more comprehensive examination of the underlying dynamic nature of HRV.

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